

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
CF/007RSERIAL NO.  
09/859,661INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTAPPLICANT  
Stuart A. Fraser, et al.FILING DATE  
May 17, 2001GROUP  
2161

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>AF</i>	5,297,032	03/22/94	Trojan et al.	364	408	
<i>AF</i>	5,305,200	04/19/94	Hartheimer et al.	364	408	
<i>AF</i>	5,373,055	12/13/94	Ohmae et al.	525	64	
<i>AF</i>	5,717,989	02/10/98	Tozzoli et al.	705	37	

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
<i>AF</i>	Declaration of Stuart A. Fraser, February 1, 2002.
<i>AF</i>	Declaration of Howard W. Lutnick, February 5, 2002.
<i>AF</i>	Declaration of Bijoy Paul, January 31, 2002.
<i>AF</i>	Declaration of James Bollinger, Esq., February 19, 2002.
<i>AF</i>	Declaration of Bradley Ruben, Esq., February 5, 2002.
<i>AF</i>	Cantor Fitzgerald Draft Confidential, October 9, 1992.
<i>AF</i>	Portions of the Super System Software Source Code.
<i>AF</i>	Cantor Fitzgerald Securities Trading System Quick Reference Guide for Brokers, October 1993, pp.1-28.
<i>AF</i>	Cantor Fitzgerald Super System Command List/Testing Procedure, October 30, 1992.
<i>AF</i>	Cantor Fitzgerald Inc. Digital Distribution System User's Guide, December 1993, pp. 1-17.
<i>AF</i>	Cantor Fitzgerald Trading System Description and Internals, Draft 1/21/94, January 1994, pp. 1-50.
<i>AF</i>	Cantor Fitzgerald Trading System Description and Internals, Draft pre-release 4/14/94, pp. 1-81.
<i>AF</i>	Cantor Fitzgerald Trading System Description and Internals, Draft pre-release 4/22/94, pp. 1-90. (missing pages 76 & 77).
<i>AF</i>	Getting Started with CFTS Keyboard Entry Tutorial, May 1995.

EXAMINER

*James W. Myhrle*

DATE CONSIDERED

*22 Dec 02*

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

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EXAMINER INITIAL	
<i>[Signature]</i>	Cantor Fitzgerald Screen Shots.
<i>[Signature]</i>	Super System Initialization Code
<i>[Signature]</i>	Other Portions of Super System Software Code
<i>[Signature]</i>	Super System Objectives
<i>[Signature]</i>	Cantor Fitzgerald Trading System Description and Internals

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